

## Integrating Collaborative Filtering Technique in Recommender Scheme for Iot Applications

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**Abstract**-To ensure reliable data and device connection, IoT applications must continuously monitor and respond to service calls. However, as the information grows larger, it becomes more difficult to offer accurate and timely service. The recommendation system has been presented as a solution to the problem of information overload. There have been several advances in the development of collaborative filtering (CF)-based recommendation systems in recent years, however most of them suffer sparse difficulties and scalability issues. This study presents a personalised recommendation algorithm based on location and time data. The missing value of the user-service-time tensor is padded across the adjacent time period to relieve data sparsity. Our methodology is scalable because the users and services sets are split into many clusters based on geographical information, and comparable things are picked in smaller and very similar clusters. In order to enhance prediction accuracy, we use the time decay function and customised weight in our technique. Extensive studies using real-world data show that our technique can significantly increase prediction accuracy when compared to other methods.

**Keywords**--Recommender systems, collaborative filtering, clustering model, personalized recommendation

### I. INTRODUCTION

Many technologies are being used to IoT situations as a result of the fast growth of network technology, and recommendation technology is one of them. The exponential expansion of information resources in the Internet of Things has become one of the most significant hurdles to consumers extracting valuable information from all of the accessible databases rapidly and effectively. Because there is so much data, efficient information filtering techniques are required. Traditional search services return the same sorting information to all users and are unable to customise the search results based on the interests of individual users. To address this issue, recommender systems have been created to give tailored suggestion services based on a user's unique preferences, and they are gaining popularity in both academic and industrial research. Recommendation technology is a key component of Internet of Things (IoT) services [1], since it may enable consumers obtain information at any time and from any location. Collaboration filtering (CF) is a well-known and extensively used recommendation technique among them [2], [3], [4], [5], [6], [7].

The Internet of Things (IoT) can be defined as a world of interconnected objects that can perceive, execute, and communicate with each other and with the environment. IoT has the most popular line of products or software, covering everything from sensors and controllers to cloud computing. Its versatility covers a wide range of fields including smart home, transportation, and environmental monitoring [1]. Quality of service (QoS) is widely used to describe the non-functional characteristics of service, such as response time, throughput, etc [4]. In fact, the number of IoT services is far greater than users, which leads to great data sparsity.

Therefore, the main issue of service prediction research is how to accurately predict and calculate the missing QoS value through the available QoS value. Collaborative filtering (CF) is the most

widely used and most successful recommendation technology in personalized recommendation systems. Legion approaches based on CF are proverbially utilized to make the prediction of QoS values [2]. The CF algorithm discovers the user's preferences by mining the user's historical behavior data and recommends similar items in light of different preferences. In addition, CF can recommend completely different items. Existing CF algorithms are often plagued by the scalability problem because the number of users and services is usually very large. Under this circumstance, calculating similarity values for each pair of users or services is time-consuming. Moreover, in a highly dynamic Internet environment, the QoS value of IoT service may change at any time [3]. Therefore, in order to achieve the best performance of the prediction algorithm, it is necessary to take into account the time and the location factor. Most existing methods seldom apply these factors to the prediction algorithm.

In this paper, a hybrid collaborative filtering method is proposed for IoT service recommendation. The average QoS value of adjacent time intervals is used to fill part of the data, and then use the filled data and the enhanced similarity method to make the prediction and produce recommendation results. The contribution of our paper is four-fold:

- (1) An enhanced measurement for computing QoS similarity between different users and between diverse services based on the personalization of service items and user items is proposed to improve the accuracy of similarity calculation.
- (2) In order to improve the prediction accuracy, the time decay function is exploited. Since the QoS performance of service is highly correlated with time.
- (3) Based on the above enhanced similarity measurement, users set and services set are divided into numerous clusters according to location information and we try to seek similar users or services in smaller and highly similar clusters. This makes our approach scalable.
- (4) Substantial experiments are conducted based on real-world data. It is indicated that our method is better than that of other CF-based models.

## II. RELATED WORKS

CF is one of the widely used service recommendation technologies, which provides interesting information based on the rating or behavior of others in the system [3]. Collaborative filtering algorithms could fall into the following three categories: user-based CF algorithm, item-based CF algorithm, and model-based CF algorithm. The basic assumption of user-based CF algorithm is that users who like similar items may have the same preferences. Yu et al. [6] proposed a collaborative filtering algorithm based on time perception and location awareness. Kenneth et al. [7] developed a service recommendation method based on CF. Scholars such as Kang et al. [8] presented a novel service prediction approach incorporating the user's potential QoS preferences and diverse feature of user's interests on services. Item-based CF algorithm utilizes all users' preferences for items and then recommends similar items to active users based on historical preference information.

Wang et al. [9] proposed a time-aware QoS prediction method. A zero mean Laplace prior distribution hypothesis is proposed for the residual of the QoS prediction. Liu et al. [10] raised a location-aware QoS prediction method that took into account the individualized deviations of services' QoS experience and improved the accuracy of similarity calculations. Unfortunately, it did not consider the impact of time factor on prediction. Model-based CF algorithm aims to train a prediction model on the basis of users' preference. Karim et al. [11] presented a predictive model for calculating end-to-end QoS values in vertical services, which exploited historical QoS values and service and user information to predict unknown QoS values of composite services. Xu et al.

[12] proposed a user-based matrixfactorization model and a service-based matrix factorization model.

Daniel et al. [13] utilized the Hidden Markov Model to construct services' behavior models. The usage of HMM for service recommendation is relatively innovative, but it's not suitable for the larger dataset. Personalized prediction algorithm plays an indispensable role in mining users' potential interests and mitigating information overload. Over the past years, the personalized recommendation system has gradually become one of the research hotspots in academia. Jiang et al. [19] proposed a personalized hybrid collaborative filtering method that integrates personalized UPCC and IPCC. Liu et al. [20] studied the influence of user-item correlation on CF. They combined the relevance of users and items, significantly improving the accuracy of recommendations. Chen et al. [22] put forward a novel and scalable hybrid CF algorithm called RegionKNN. Since service-oriented IoT applications typically integrate many component services to satisfy certain application logic, applications need to maintain the flexibility of QoS changes for their component services to ensure end-to-end QoS. In order to solve the above problems, Zhu et al. [21] proposed an adaptive matrix decomposition method to perform online QoS prediction of candidate services. This method can handle the problem of QoS updating and can perform online prediction in real time after receiving the new QoS. Based on the previous research, our work focuses on enhancing prediction accuracy, improving the scalability problem and solving data sparsity. Since IoT services are deployed on the environment unpredictable Internet, the values of QoS attributes, such as response time and throughput, are highly correlated with the location of the target users and target services.

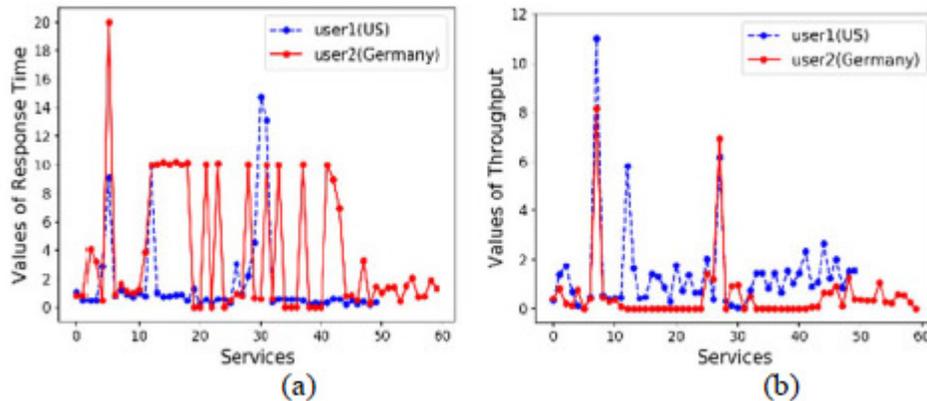


Fig.1. Two users' QoS values

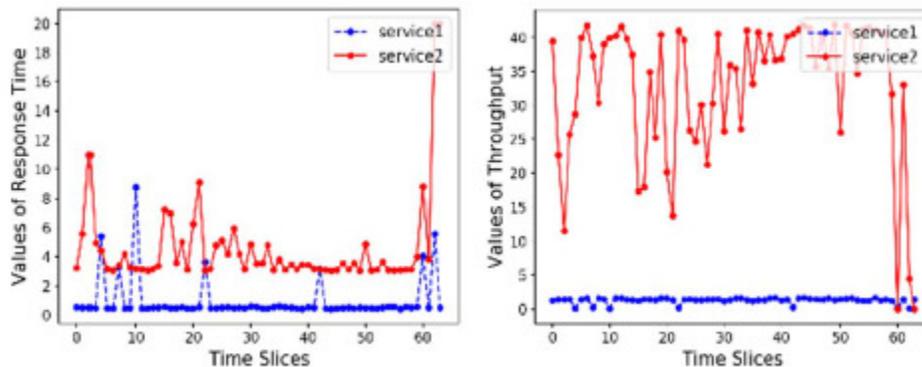


Fig.2. QoS values of two services within different time slices

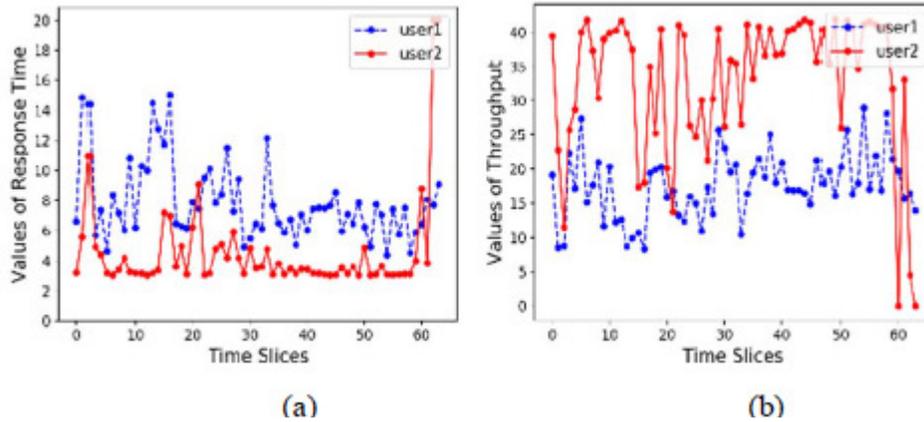


Fig.3. Two users' QoS values within different time slices

Fig.1 shows the response time and throughput values of services observed by two users in different locations (user1 from the United States and user2 from Germany). It can be seen that although the same service is called, the response time and throughput values of the two users are completely different. This experimental observation shows that the values of QoS attributes are constantly changing, influenced by factors such as the user's environment, network conditions, and so on. Fig.2 shows the values of response time and throughput values for the same user observing two different services within 64-time slices. Figure 3 shows the changes in response time and throughput for two different users observing the same service over 64 time periods. As you can see, the performance of services changes dynamically over time. It means that time is an important factor.

### III. PROPOSED METHOD

This section mainly introduces the framework of the personalized QoS prediction approach proposed in this paper. The process flow of this model is shown in Fig.4. Our model consists of two parts: data acquisition layer and data processing layer. Users data and services data are collected in the first layer from the IoT system such as Smart City, Intelligent Medicine, Industry 4.0 and so on. The second layer is composed of the data management module, the HPLT QoS prediction module, and IoT services recommendation module. The data management module is in charge of information extraction, transformation, and load from multiple sources. In the IoT services recommendation module, the predicted unknown QoS value and the original QoS value are transmitted to the IoT services recommendation module to select a high-quality service. In our experiments, we focus on two QoS attributes: response time (RTT) and throughput (TP). The response time represents the time duration between the user submitting a request and receiving a response, while the throughput stands for the data transfer rate of the user who invoked the service. The average values of response time and throughput is 1.43 seconds and 10.8 kbps, respectively. Therefore, in order to facilitate data processing, it is necessary to standardize the original data. The linear normalization method is used in our experiments:

$$q_{u,i,t} = \begin{cases} 0, & \text{if } q_{u,i,t} = \min(u) \\ 1, & \text{if } q_{u,i,t} = \max(u) \\ \frac{q_{u,i,t} - \min(u)}{\max(u) - \min(u)}, & \text{if } \min(u) < q_{u,i,t} < \max(u) \end{cases} \quad (1)$$

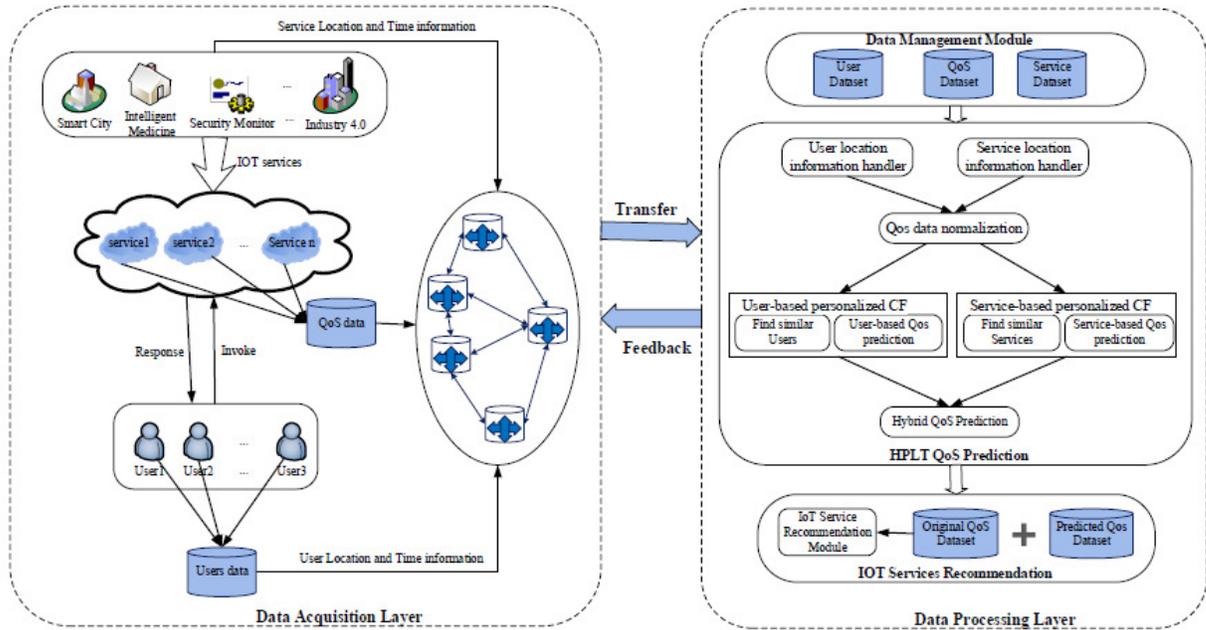


Fig.4. Recommendation model framework for IoT service

Similarity calculation is one of the cardinal steps in CF-based QoS prediction. Pearson correlation coefficient(PCC) has been applied to a great deal of CF-based prediction algorithms. The selection of similar neighbor is an important step in predicting the missing values because the choice of different neighbors will influence the prediction accuracy[25]. The traditional Top-K neighbor selection method is usually applied to CF. However, some entries in the user-service-time tensor may have similar neighbors that are far less than k, or even no neighbors. To solve this problem, we divide users set and services set into multiple clusters based on location information and try to find similar users or services in smaller and similar clusters. When new services enter, similar users can be found in similar clusters, greatly reduce the computational complexity. After predicting missing values of QoS, we utilize them to select a high-quality IoT service for the user in the IoT service recommendation module. When an active user requests a service with specific functionality, the predicted QoS value will help the user identify a set of high-quality services and recommend the optimal service to the active user.

#### IV. RESULTS AND DISCUSSION

A set of experiments were conducted to evaluate the performance of our QoS prediction method. All of the experiments in this paper are running on the Mac OS Sierra operating system. The configuration is: Intel Core i5 2.7GHz processor and 8G memory. The experiment program was written in Python and the version was 3.6.4. This paper adopts a real-world service QoS data set WSDream1, allowing users to carry out service reliability and quality assessment in a collaborative manner. It includes the response time and throughput values of 4,500 services from 142 users within 64 time intervals [4]. The data set is transformed into two tensors: the user-service-time response time tensor and the user-service-time throughput tensor. Then, the 142 users are divided into two parts: 20 users are randomly selected as test users and the rest as training users. Each group of experiments is performed 100 times and average values are taken as results. In our experiments, we compare the prediction accuracy of UPLT, IPLT and HPLT with other IoT service recommendation methods, as shown below:

- (1) UPCC: UPCC [17] is an user-based CF method and it employs the average QoS value from the users on the other service to predict the missing value. UPCC is a well-known prediction method.
- (2) IPCC: IPCC [18] is an item-based CF method that predicts missing values from the average QoS value of service items observed by other users. And IPCC is also a notable prediction method.
- (3) WSRec: WSRec [16] is a hybrid recommendation method combining UPCC and IPCC. But WSRec suffers from serious scalability problems.
- (4) HLACF: HLACF [10] divides users set and services set into multiple clusters based on location information to solve scalability issues, and it also considers the personalized impact of services and users. But it can't incorporate time factor into similar neighbor selection.

The experiments are carried out with the matrix density of 30%, Top-K=20,  $\lambda=0.8$ ,  $\alpha=0.2$ , and  $\theta_1 = \theta_2 = 0.9$ . Besides, in order to reduce the scope depth, we set  $d$  to 1. MAE and NMAE performance comparisons between UPLT, IPLT, HPLT and other methods are shown in Table 1. From Table 1, it can be seen that although the performance of MAE and NMAE of IPLT and UPLT is not always better than HLACF, the performance of the hybrid algorithm HPLT is lower than both IPLT and UPLT, and also lower than other methods. It indicates that the HPLT can achieve better accurate prediction.

TABLE 1. MAE and NMAE Performance Comparisons

		UPCC	IPCC	WSRec	HLACF	IPLT	UPLT	HPLT
RTT	MAE	0.697	0.903	0.622	0.543	0.662	0.521	0.508
RTT	NMAE	0.986	0.850	0.807	0.741	0.631	0.716	0.562
TP	MAE	50.630	45.372	36.024	27.659	26.328	27.014	26.945
TP	NMAE	0.973	0.891	0.819	0.611	0.640	0.703	0.581

Fig. 5 shows the results produced by  $\theta_1$ . The influence of  $\theta_2$  is similar to  $\theta_1$ , as shown in Fig. 6. It indicates that  $\theta_1$  and  $\theta_2$  have a significant impact on prediction accuracy.

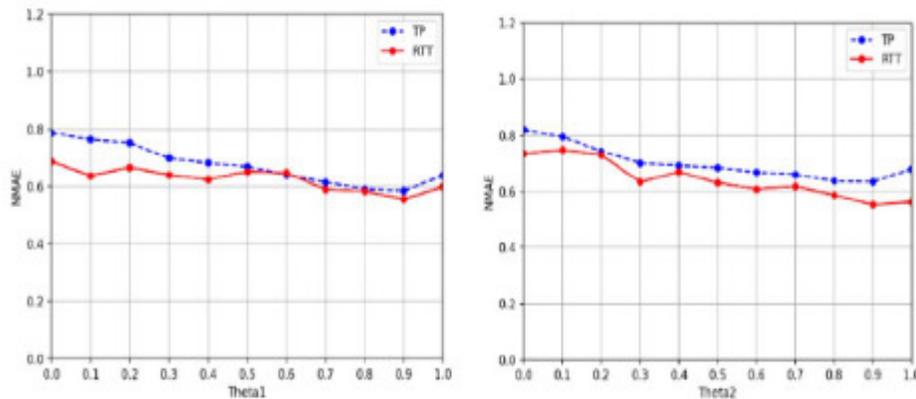


Fig.5. The influence of parameter  $\theta_1$  Fig.6. The influence of parameter  $\theta_2$

Top-K needs to be determined when selecting similar neighbors. In order to study the impact of Top-K on prediction results, we increase the value of Top-K from 5 to 40. The experimental results are shown in Fig. 7. It can be seen from Fig. 7 that with the increases of Top-K, the value of NMAE decreases slowly. When Top-K reaches to 20, NMAE achieves a minimum value and then increases rapidly. The situation is reasonable because when Top-K is not large enough, only a few similar neighbors are selected for prediction, and the valuable information of similar neighbors is not fully utilized. When Top-K is too large, some

users with low similarity will be used and lead to low prediction accuracy. The parameter  $\alpha$  is a settable attenuation coefficient employed in the Newton's law of cooling. The greater the value of  $\alpha$ , the faster the user's interest in the service decays. In order to study the effect of the parameter  $\alpha$  on prediction accuracy, the parameter  $\alpha$  is set from 0 to 1 in steps of 0.1. Results are shown in Fig. 8. As can be seen from Figure 8, with the value of  $\alpha$  increases, NMAE decreases first and then increases. When  $\alpha$  is 0.2, the prediction gets the highest accuracy. It is reasonable, because if the initial interest of users in a service is 100 points at the beginning, his/her interest may be "cooled" to 1 point after 24 days.

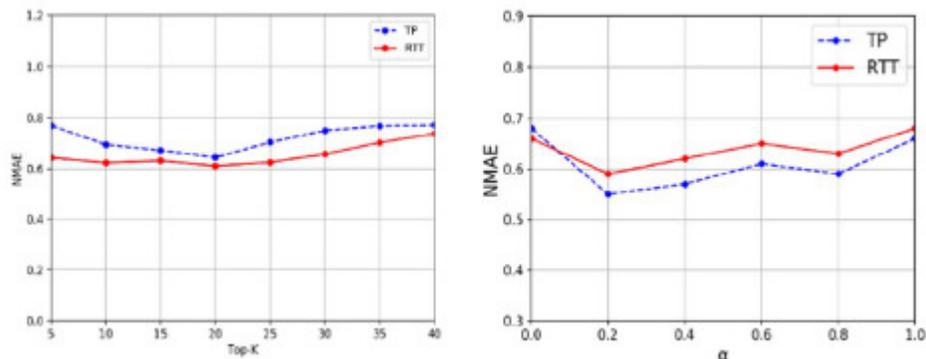


Fig.7. The influence of parameter top-k Fig.8. The influence of parameter  $\alpha$

## V. FUTURE SCOPE AND CONCLUSION

Based on the temporal correlation coefficient (TCC) and CSK-means, this study suggested a unique collaborative filtering technique (TCCF). The CSK-means method is used by TCCF to break down a large data issue into smaller, more manageable chunks. The clustering approach is a pre-processing step that groups users who are similar in order to provide more accurate and timely recommendations. To begin, we used Cuckoo search, an unique intelligent optimization method, to increase the clustering impact of the K-means algorithm. Then, to account for interest drift over time, we devised a time factor. Finally, in order to increase the quality of TCCF, we created an effective and personalized recommendation model based on preference pattern (PTCCF). By studying the user's activity, it can provide a better suggestion. Our suggested models TCCF and PTCCF are effective and efficient for a rapid and accurate suggestion, as demonstrated by systematic experimental findings on MovieLens and Douban.

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